| | M PTO- | 1390 U.S. DEPARTMENT C | OF COMMERCE PATENT AND TRADEMARK OF | TATEORNEYS BOCKE | 4662-21 | | | | | | | | |
|---|--|--|---|---------------------------|---------------------------------|--|--|--|--|--|--|--|--|
| | | TRANSMITTAL LETTE | R TO THE UNITED STATES | U.S. APPLICATION NO. | (If known, see 37 C.F.R. 1.5) | | | | | | | | |
| | | | CTED OFFICE (DO/EO/US) ING UNDER 35 U.S.C. 371 | | 10/534,737 | | | | | | | | |
| INTE | AIMED | | | | | | | | | | | | |
| INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2003/012410 3 November 2003 15 November 2002 | | | | | | | | | | | | | |
| TITI | TITLE OF INVENTION #5 | | | | | | | | | | | | |
| | PROCESS FOR THE PREPARATION OF AN ENANTIOMERICALLY ENRICHED SCHIFF BASE | | | | | | | | | | | | |
| APF | APPLICANT(S) FOR DO/EO/US | | | | | | | | | | | | |
| | DUCHATEAU et al | | | | | | | | | | | | |
| /:pp | | cant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | |
| 1. | | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | | |
| ر2. | | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | | |
| 4. | | The U.S. has been elected (Article 31). | | | | | | | | | | | |
| 5. | A co | copy of the International Application as filed (35 U.S.C. 371(c)(2). | | | | | | | | | | | |
| | a. | is attached hereto (| pages specification, claims & abstract (| claims), | sheets drawings). | | | | | | | | |
| | b. has been communicated by the International Bureau. | | | | | | | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | | | |
| 6. | | An English language translation of the International Application as filed (35 U.S.C. 371(c)(3) | | | | | | | | | | | |
| ! | a. Cert | is attached hereto (tificate of Translation). | pages specification, claims & abstract (| claims), | sheets drawings, page | | | | | | | | |
| 1 | b. | has been previously s | as been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 7. | | Amendments to the claims | of the International Application under PCT Ar | ticle 19 (35 U.S.C. 37 | 1(c)(3) | | | | | | | | |
| | a. | are attached hereto (re | equired only if not communicated by the Inter | national Bureau). | | | | | | | | | |
| | b. | have been communicated by the International Bureau. | | | | | | | | | | | |
| | c. | have not been made; | however, the time limit for making such amer | dments has NOT exp | pired. | | | | | | | | |
| | d. | have not been made a | and will not be made. | | | | | | | | | | |
| 8. | | An English language transla | ation of the amendments to the claims under | PCT Article 19 (35 U. | S.C. 371(c)(3). | | | | | | | | |
| 9. | a. | An oath or declaration | of the inventor(s) (35 U.S.C. 371(c)(4). | | | | | | | | | | |
| | b. Forr | | itted to the International Bureau during Intern T/IB/371 and first page of printed publication | | | | | | | | | | |
| 10. | _ | | ation of the annexes of the International Prelim | ninary Examination R | Report under PCT Article 36 (35 | | | | | | | | |
| U.S | | 71(c)(5). ns 11 To 20 below concern | document(s) or information included: | | | | | | | | | | |
| 11. | | An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. | | | | | | | | | | | |
| 12. | \boxtimes | An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. | | | | | | | | | | | |
| 13. | a. b. | ☐ A FIRST preliminary amendment. ☐ A SECOND or SUBSEQUENT preliminary amendment. | | | | | | | | | | | |
| 14. | | An Application Data Sheet under 37 C.F.R. § 1.76. | | | | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | | | | |
| 16. | | A change of power of attorney and/or address letter. | | | | | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. | | | | | | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | |
| 20. | \boxtimes | | | | | | | | | | | | |

| | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) | | | | INTERNATIONAL APPLICATION NO. | | | i i | ATTORNEY'S DOCKET NUMBER 4662-21 | | | |
|---|---|---|---------|---------------|-------------------------------|---|-------------------|---|----------------------------------|------------|-----------------|---------------|
| | 10/534,737 PCT/EP2003/012410 | | | | | | | | | | | |
| | 21. The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): | | | | | | | | | | | |
| | | a) Basic national fee\$300.00 (1631)/\$150.00 (2631) | | | | | | | | \$ | | |
| | | | | | | | | | | | | |
| | • | b) Examination Fee\$200.00 (1633)/\$100.00 (2633) | | | | | | | \$ | | | |
| | c) Search | C) Search Fee | | | | | | | \$ | | | |
| | | TOTAL OF ABOVE CALCULATIONS\$1000.00/\$500.00 | | | | | | | | \$ | 0.00 | |
| | Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | | | |
| | Total Sheets Extra Sheets Number of | | | | | each additional 50 or RATE reof (round up to a whole | | | = | | | |
| | 0 -100 | 0 / | 0 /50 = | | 0.00 | | \$0.0 | \$0.00 (1681) | | \$ | | - |
| | | | | | | | \$0.0 | 0 (2681) | | | | |
| | | rcharge of \$130.00 (1617)/\$65.00 (2617) for furnishing the oath or declaration later than 30 month the earliest claimed priority date (37 C.F.R. 1.492(e). | | | | | | | 30 months | | | 20 |
| | CLAIMS | <u> </u> | | | # EXTRA | - <i>j</i> . | | RATE | | \$ | | |
| | Total Claims | 16 | _ | inus 20 | 0 X | \$50.00 (16 | 15)/ | \$25.00 (2615) | | \$ | | |
| | | | | = | | | | | | | | |
| | Independent Clai | | | nus 3 = | 0 X | \$200.00 (1 | | \$100.00 (2614) | | \$ | | |
| | MULTIPLE DEPE | | | | | | | 6)/\$180.00 (261 | | \$ | 0.00 | |
| | Petition is hereby attachment(s): C | / made to e | extend | the curren | t due date : | SO AS 10 COVE | er the filing | i date of this pap oth Extensions \$ | er and 450 00 | | | |
| | (1252)/\$225.00 (2 | 2252):Thre | e Mon | ith Extension | ons \$1020. | 00.00 (2231) 00 (1253/\$5 | 10.00 (225 | 3); Four Month E | xtensions | | | |
| | \$1590.00 (1254/ | | | | | • | , | | | \$ | 450.00 | |
| Applicant claims small entity status. See 37 CFR 1.27. | | | | | | | | П | | | | |
| Processing fee of \$130.00 (1618), for furnishing the English Translation | | | | | | | ition later t | | | | 0.00 | |
| | months from the | nonths from the earliest claimed priority date (37 C.F.R. 1.492(f). + TOTAL NATIONAL FEE | | | | | | | NAI FFF = | \$ | 450.00 | |
| | Fee for recording | ee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 (8021) per property + | | | | | | | ╅ | | | |
| | | | | | | | | \$ | 40.00 | | | |
| | Fee for Petition to | ee for Petition to Revive Unintentionally Abandoned Application;\$1500.00 (1453) / \$750.00 (2453) | | | | | \$ | 0.00 | | | | |
| | | TOTAL FEES ENCLOSED = | | | | | | CLOSED = | \$ | 490.00 | | |
| | | | | | | | | | nount to be: | | | |
| | | | | | | | | | | efunded | \$ | |
| | 5 N A shae | | | -£ #400.00 | 1 to oouer th | a abaya faa | o io onolos | | **** | Charged \$ | | |
| | | | | | | e above fee | | sea. to cover th | e above fee | s | | |
| | | | | form is en | | 140 111 1110 411 | ilouili oi ψ | 10 00101 11 | 0 45010 100 | . | | |
| | | | | | | | | es which may be | | cred | it any | |
| | | | | | | | te copy of | this form is enclo | sed. | | | |
| | | | | | RM ATT | | D0000/04 <i>6</i> | | | | . !! 4! / - \ - | |
| | e. 🔲 The en thereto, and | | | | | | | 410 and any U.Sy reference in thi | | | olication(s) c | corresponding |
| | | | | | | | | | | | /ive (37 C.F | .R. 1.137(a) |
| | NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a or (b) must be filed and granted to restore the application to pending status. | | | | | | | | | (=, | | |
| CORRESPONDENCE ADDRESS | | | | | | | | | | | | |
| | Direct all corre | • | | | <u></u> | | | | | | | |
| | | Custom | | | | 23117 | | | | | | |
| 01/ | 31/2006 LLANDGRA (|)0000003 10 | 534737 | 1 | Type C | ıstomer Numbe | r here | _ | 11 _ | | | |
| 01 | FC:1252 | | 450 |).00 OP | | | | <u>C</u> | M | ~ | ~ | |
| | Telephone: (703) 816-4000 BHD:bcf NAME | | | | | | | | | | | |
| | BHD:bcf | | | | | | | | \ | _ | | |
| | | 30,251 REGISTRATION NUMBER | | | | | | | January 27, 2006 | | | |
| | | | | | | | | HEGISTRATIO | NUMBER | D | ate | |